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ATTORNEY'S DOCKET NO. C01078/70002 RJP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jerome M. Shapiro et al.
Serial No: 09/925,615
Filed: August 9, 2001
For: EMBEDDED INFORMATION MODULATION AND DEMODULATION
USING SPECTRUM CONTROL ORTHOGONAL FILTER BANKS

Examiner: --
Art Unit: 2614

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the 14th day of November, 2001.

Judith M. Schultz
Judith M. Schultz

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- Notice to File Missing Parts
- Sixteen Sheets of Substitute Drawings
- Declaration for Patent Application (Signed)
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

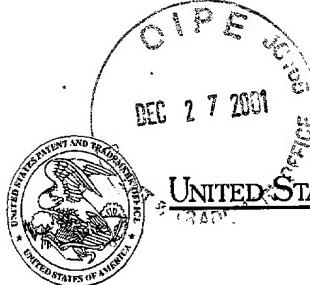
A check in the amount of \$1,802.00 (\$370 for the basic fee, \$891 for 99 total claims over 20, \$336 for 8 independent claims over 3, \$140 for multiple dependent claim surcharge and \$65 for late filing fee of declaration) is enclosed. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Randy J. Pritzker

Randy J. Pritzker, Reg. No. 35,986
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
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Date: November 14, 2001
X11/14/01



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,615	08/09/2001	Jerome M. Shapiro	C01078/70002

CONFIRMATION NO. 2956

FORMALITIES LETTER



OC000000006559623

Randy J. Pritzker
Wolf, Greenfield & Sacks, P.C.
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210

Date Mailed: 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1355.

■ \$900 for 100 total claims over 20.	12/28/2001 MBERHE 00000008 09925615	370.00 OP
■ \$320 for 8 independent claims over 3 .	02 FC:205	65.00 OP
■ \$135 for multiple dependent claim surcharge.	03 FC:203	891.00 OP
	04 FC:202	336.00 OP
	05 FC:204	140.00 OP
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1775.**

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The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom

margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

[Signature]

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE